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AGREEMENT

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Extensions for Financial Services (XFS) interface specification Release 3.30 - Part 13: Alarm Device Class Interface -Programmer's Reference

This CEN Workshop Agreement has been drafted and approved by a Workshop of representatives of interested parties, the constitution of which is indicated in the foreword of this Workshop Agreement.

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European foreword

This CWA is revision 3.30 of the XFS interface specification.

This CEN Workshop Agreement has been drafted and approved by a Workshop of representatives of interested parties on March 19th 2015, the constitution of which was supported by CEN following the public call for participation made on 1998-06-24. The specification is continuously reviewed and commented in the CEN/ISSS Workshop on XFS. It is therefore expected that an update of the specification will be published in due time as a CWA, superseding this revision 3.30.

A list of the individuals and organizations which supported the technical consensus represented by the CEN Workshop Agreement is available from the CEN/XFS Secretariat. The CEN XFS Workshop gathered suppliers as well as banks and other financial service companies.

The CWA is published as a multi-part document, consisting of:

- Part 1: Application Programming Interface (API) Service Provider Interface (SPI) Programmer's Reference
- Part 2: Service Classes Definition Programmer's Reference
- Part 3: Printer and Scanning Device Class Interface Programmer's Reference
- Part 4: Identification Card Device Class Interface Programmer's Reference
- Part 5: Cash Dispenser Device Class Interface Programmer's Reference
- Part 6: PIN Keypad Device Class Interface Programmer's Reference
- Part 7: Check Reader/Scanner Device Class Interface Programmer's Reference
- Part 8: Depository Device Class Interface Programmer's Reference
- Part 9: Text Terminal Unit Device Class Interface Programmer's Reference
- Part 10: Sensors and Indicators Unit Device Class Interface Programmer's Reference
- Part 11: Vendor Dependent Mode Device Class Interface Programmer's Reference
- Part 12: Camera Device Class Interface Programmer's Reference
- Part 13: Alarm Device Class Interface Programmer's Reference
- Part 14: Card Embossing Unit Device Class Interface Programmer's Reference
- Part 15: Cash-In Module Device Class Interface Programmer's Reference
- Part 16: Card Dispenser Device Class Interface Programmer's Reference
- Part 17: Barcode Reader Device Class Interface Programmer's Reference
- Part 18: Item Processing Module Device Class Interface- Programmer's Reference
- Parts 19 28: Reserved for future use.
- Parts 29 through 47 constitute an optional addendum to this CWA. They define the integration between the SNMP standard and the set of status and statistical information exported by the Service Providers.
- Part 29: XFS MIB Architecture and SNMP Extensions Programmer's Reference
- Part 30: XFS MIB Device Specific Definitions Printer Device Class
- Part 31: XFS MIB Device Specific Definitions Identification Card Device Class
- Part 32: XFS MIB Device Specific Definitions Cash Dispenser Device Class
- Part 33: XFS MIB Device Specific Definitions PIN Keypad Device Class
- Part 34: XFS MIB Device Specific Definitions Check Reader/Scanner Device Class
- Part 35: XFS MIB Device Specific Definitions Depository Device Class
- Part 36: XFS MIB Device Specific Definitions Text Terminal Unit Device Class
- Part 37: XFS MIB Device Specific Definitions Sensors and Indicators Unit Device Class
- Part 38: XFS MIB Device Specific Definitions Camera Device Class

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- Part 39: XFS MIB Device Specific Definitions Alarm Device Class
- Part 40: XFS MIB Device Specific Definitions Card Embossing Unit Class
- Part 41: XFS MIB Device Specific Definitions Cash-In Module Device Class
- Part 42: Reserved for future use.
- Part 43: XFS MIB Device Specific Definitions Vendor Dependent Mode Device Class
- Part 44: XFS MIB Application Management
- Part 45: XFS MIB Device Specific Definitions Card Dispenser Device Class
- Part 46: XFS MIB Device Specific Definitions Barcode Reader Device Class
- Part 47: XFS MIB Device Specific Definitions Item Processing Module Device Class
- Parts 48 60 are reserved for future use.
- Part 61: Application Programming Interface (API) Migration from Version 3.20 (CWA 16374) to Version 3.30 (this CWA) Service Provider Interface (SPI) Programmer's Reference
- Part 62: Printer and Scanning Device Class Interface Migration from Version 3.20 (CWA 16374) to Version 3.30 (this CWA) Programmer's Reference
- Part 63: Identification Card Device Class Interface Migration from Version 3.20 (CWA 16374) to Version 3.30 (this CWA) Programmer's Reference
- Part 64: Cash Dispenser Device Class Interface Migration from Version 3.20 (CWA 16374) to Version 3.30 (this CWA) Programmer's Reference
- Part 65: PIN Keypad Device Class Interface Migration from Version 3.20 (CWA 16374) to Version 3.30 (this CWA) Programmer's Reference
- Part 66: Check Reader/Scanner Device Class Interface Migration from Version 3.20 (CWA 16374) to Version 3.30 (this CWA) Programmer's Reference
- Part 67: Depository Device Class Interface Migration from Version 3.20 (CWA 16374) to Version 3.30 (this CWA) Programmer's Reference
- Part 68: Text Terminal Unit Device Class Interface Migration from Version 3.20 (CWA 16374) to Version 3.30 (this CWA) Programmer's Reference
- Part 69: Sensors and Indicators Unit Device Class Interface Migration from Version 3.20 (CWA 16374) to Version 3.30 (this CWA) Programmer's Reference
- Part 70: Vendor Dependent Mode Device Class Interface Migration from Version 3.20 (CWA 16374) to Version 3.30 (this CWA) Programmer's Reference
- Part 71: Camera Device Class Interface Migration from Version 3.20 (CWA 16374) to Version 3.30 (this CWA) Programmer's Reference
- Part 72: Alarm Device Class Interface Migration from Version 3.20 (CWA 16374) to Version 3.30 (this CWA) Programmer's Reference
- Part 73: Card Embossing Unit Device Class Interface Migration from Version 3.20 (CWA 16374) to Version 3.30 (this CWA) Programmer's Reference
- Part 74: Cash-In Module Device Class Interface Migration from Version 3.20 (CWA 16374) to Version 3.30 (this CWA) Programmer's Reference
- Part 75: Card Dispenser Device Class Interface Migration from Version 3.20 (CWA 16374) to Version 3.30 (this CWA) Programmer's Reference
- Part 76: Barcode Reader Device Class Interface Migration from Version 3.20 (CWA 16374) to Version 3.30 (this CWA) Programmer's Reference
- Part 77: Item Processing Module Device Class Interface Migration from Version 3.20 (CWA 16374) to Version 3.30 (this CWA) Programmer's Reference

In addition to these Programmer's Reference specifications, the reader of this CWA is also referred to a complementary document, called Release Notes. The Release Notes contain clarifications and explanations on the CWA specifications, which are not requiring functional changes. The current version of the Release Notes is available online from http://www.cen.eu/work/areas/ict/ebusiness/pages/ws-xfs.aspx.

The information in this document represents the Workshop's current views on the issues discussed as of the date of publication. It is furnished for informational purposes only and is subject to change without notice. CEN makes no warranty, express or implied, with respect to this document.

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The final review/endorsement round for this CWA was started on 2015-01-16 and was successfully closed on 2015-03-19. The final text of this CWA was submitted to CEN for publication on 2015-06-19. The specification is continuously reviewed and commented in the CEN Workshop on XFS. It is therefore expected that an update of the specification will be published in due time as a CWA, superseding this revision 3.30.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN [and/or CENELEC] shall not be held responsible for identifying any or all such patent rights.

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Comments or suggestions from the users of the CEN Workshop Agreement are welcome and should be addressed to the CEN-CENELEC Management Centre.

Revision History:

y.				
3.00	October 18, 2000	Initial Release.		
3.10	November 29, 2007	For a description of changes from version 3.00 to version 3.10 see the ALM 3.10 Migration document.		
3.20	March 2, 2011	For a description of changes from version 3.10 to version 3.20 see the ALM 3.20 Migration document.		
3.30	March 19, 2015	For a description of changes from version 3.20 to version 3.30 see the ALM 3.30 Migration document.		

1. Introduction

1.1 Background to Release 3.30

The CEN/XFS Workshop aims to promote a clear and unambiguous specification defining a multi-vendor software interface to financial peripheral devices. The XFS (eXtensions for Financial Services) specifications are developed within the CEN (European Committee for Standardization/Information Society Standardization System) Workshop environment. CEN Workshops aim to arrive at a European consensus on an issue that can be published as a CEN Workshop Agreement (CWA).

The CEN/XFS Workshop encourages the participation of both banks and vendors in the deliberations required to create an industry standard. The CEN/XFS Workshop achieves its goals by focused sub-groups working electronically and meeting quarterly.

Release 3.30 of the XFS specification is based on a C API and is delivered with the continued promise for the protection of technical investment for existing applications. This release of the specification extends the functionality and capabilities of the existing devices covered by the specification, but it does not include any new device classes. Notable enhancements include:

- Enhanced reporting of Shutter Jammed Status and a new Shutter Status event for CDM, CIM and IPM
- Addition of a Synchronize command for all device classes, in order to allow synchronized action where necessary.
- Directional Guidance Light support.
- Addition of a CIM Deplete Command.
- Support for EMV Intelligent Contactless Readers.
- Support in PIN for Encrypting Touch Screen.
- PIN Authentication functionality.
- New PIN Encryption Protocols added for Chinese market.
- PIN TR34 standard supported.

1.2 XFS Service-Specific Programming

The service classes are defined by their service-specific commands and the associated data structures, error codes, messages, etc. These commands are used to request functions that are specific to one or more classes of Service Providers, but not all of them, and therefore are not included in the common API for basic or administration functions.

When a service-specific command is common among two or more classes of Service Providers, the syntax of the command is as similar as possible across all services, since a major objective of XFS is to standardize function codes and structures for the broadest variety of services. For example, using the **WFS Execute** function, the commands to read data from various services are as similar as possible to each other in their syntax and data structures.

In general, the specific command set for a service class is defined as a superset of the specific capabilities likely to be provided by the developers of the services of that class; thus any particular device will normally support only a subset of the defined command set.

There are three cases in which a Service Provider may receive a service-specific command that it does not support:

The requested capability is defined for the class of Service Providers by the XFS specification, the particular vendor implementation of that service does not support it, and the unsupported capability is *not* considered to be fundamental to the service. In this case, the Service Provider returns a successful completion, but does no operation. An example would be a request from an application to turn on a control indicator on a passbook printer; the Service Provider recognizes the command, but since the passbook printer it is managing does not include that indicator, the Service Provider does no operation and returns a successful completion to the application.

The requested capability is defined for the class of Service Providers by the XFS specification, the particular vendor

implementation of that service does not support it, and the unsupported capability *is* considered to be fundamental to the service. In this case, a WFS_ERR_UNSUPP_COMMAND error is returned to the calling application. An example would be a request from an application to a cash dispenser to dispense coins; the Service Provider recognizes the command but, since the cash dispenser it is managing dispenses only notes, returns this error.

The requested capability is *not* defined for the class of Service Providers by the XFS specification. In this case, a WFS ERR INVALID COMMAND error is returned to the calling application.

This design allows implementation of applications that can be used with a range of services that provide differing subsets of the functionalities that are defined for their service class. Applications may use the **WFS GetInfo** and **WFS Async GetInfo** commands to inquire about the capabilities of the service they are about to use, and modify their behavior accordingly, or they may use functions and then deal with WFS_ERR_UNSUPP_COMMAND error returns to make decisions as to how to use the service.

2. Alarms

This specification describes the functionality of the services provided by Alarms (ALM) under XFS, by defining the service-specific commands that can be issued, using the WFSGetInfo, WFSAsyncGetInfo, WFSExecute and WFSAsyncExecute functions. This section describes the functionality of an Alarm (ALM) service that applies to both attended and unattended (self-service) devices.

The Alarm device class is provided as a separate service due to the need to set or reset an Alarm when one or more logical services associated with an attended CDM or unattended (self-service) device are locked. Because logical services can be locked by the application the Alarm is implemented in a separate device class to ensure that a set (trigger) or reset operation can be performed at any time.

3. References

1. XFS Application Programming Interface (API)/Service Provider Interface (SPI), Programmer's Reference Revision 3.30

4. Info Commands

4.1 WFS_INF_ALM_STATUS

Description This command is used to request the Alarm status.

Input Param None.

Output Param LPWFSALMSTATUS lpStatus;

fwDevice

Specifies the state of the alarm device as one of the following flags:

Value	Meaning
WFS_ALM_DEVONLINE	The device is present, powered on and online (i.e. operational, not busy processing a request and not in an error state).
WFS_ALM_DEVOFFLINE	The device is offline (e.g. the operator has taken the device offline by turning a switch).
WFS_ALM_DEVPOWEROFF	The device is powered off or physically not connected.
WFS_ALM_DEVNODEVICE	There is no device intended to be there; e.g. this type of self service machine does not contain such a device or it is internally not configured.
WFS_ALM_DEVUSERERROR	The device is present but a person is preventing proper device operation. The application should suspend the device operation or remove the device from service until the Service Provider generates a device state change event indicating the condition of the device has changed e.g. the error is removed (WFS_ALM_DEVONLINE) or a permanent error condition has occurred (WFS_ALM_DEVHWERROR).
WFS_ALM_DEVHWERROR	The device is present but inoperable due to a hardware fault that prevents it from being used.
WFS_ALM_DEVBUSY	The device is busy and unable to process an execute command at this time.
WFS_ALM_DEVFRAUDATTEMPT	The device is present but is inoperable because it has detected a fraud attempt.
WFS_ALM_DEVPOTENTIALFRAUD	The device has detected a potential fraud attempt and is capable of remaining in service. In this case the application should make the decision as to whether to take the device offline.

bAlarmSet

Specifies the state of the Alarm as either Reset (FALSE) or Set (TRUE).

lpszExtra

Pointer to a list of vendor-specific, or any other extended, information. The information is returned as a series of "key=value" strings so that it is easily extensible by Service Providers. Each string is null-terminated, with the final string terminating with two null characters. An empty list may be indicated by either a NULL pointer or a pointer to two consecutive null characters.

wAntiFraudModule

Specifies the state of the anti-fraud module as one of the following values:

Value	Meaning
WFS_ALM_AFMNOTSUPP	No anti-fraud module is available.
WFS_ALM_AFMOK	Anti-fraud module is in a good state and no
	foreign device is detected.
WFS_ALM_AFMINOP	Anti-fraud module is inoperable.
WFS_ALM_AFMDEVICEDETECTED	Anti-fraud module detected the presence of a
	foreign device.
WFS_ALM_AFMUNKNOWN	The state of the anti-fraud module cannot be
	determined.

Error Codes

Only the generic error codes defined in [Ref. 1] can be generated by this command.

Comments

Applications which require or expect specific information to be present in the *lpszExtra* parameter may not be device or vendor-independent.

In the case where communications with the device has been lost, the *fwDevice* field will report WFS_ALM_DEVPOW EROFF when the device has been removed or WFS_ALM_DEVHWERROR if the communications are unexpectedly lost. All other fields should contain a value based on the following rules and priority:

- 1. Report the value as unknown.
- 2. Report the value as a general h/w error.
- 3. Report the value as the last known value.

4.2 WFS_INF_ALM_CAPABILITIES

Description This command is used to retrieve the capabilities of the Alarm.

Input Param None.

1 ai aiii 1 tone

Output Param LPWFSALMCAPS lpCaps;

wClass

Specifies the logical service class as WFS SERVICE CLASS ALM.

bProgrammatically Deactivate

Specifies whether the Alarm can be programmatically deactivated (TRUE) or can not be programmatically deactivated (FALSE).

lpszExtra

Pointer to a list of vendor-specific, or any other extended, information. The information is returned as a series of "key=value" strings so that it is easily extensible by Service Providers. Each string is null-terminated, with the final string terminating with two null characters. An empty list may be indicated by either a NULL pointer or a pointer to two consecutive null characters.

bAntiFraudModule

Specifies whether the anti-fraud module is available. This can either be TRUE if available or FALSE if not available.

lpdwSynchronizableCommands

Pointer to a zero-terminated list of DWORDs which contains the execute command IDs that can be synchronized. If no execute command can be synchronized then this parameter will be NULL.

Error Codes

Only the generic error codes defined in [Ref. 1] can be generated by this command.

Comments

Applications which require or expect specific information to be present in the *lpszExtra* parameter may not be device or vendor-independent.

5. Execute Commands

5.1 WFS_CMD_ALM_SET_ALARM

Description This command is used to trigger an Alarm.

Input Param None.Output Param None.

Error Codes Only the generic error codes defined in [Ref. 1] can be generated by this command.

Events In addition to the generic events defined in [Ref. 1], the following events can be generated as a

result of this command:

Value Meaning

WFS_SRVE_ALM_DEVICE_SET The alarm device has been triggered.

Comments None.

5.2 WFS_CMD_ALM_RESET_ALARM

Description This command is used to reset an Alarm.

Input Param None.Output Param None.

Error Codes Only the generic error codes defined in [Ref. 1] can be generated by this command.

Events In addition to the generic events defined in [Ref. 1], the following events can be generated as a

result of this command:

 Value
 Meaning

 WFS_SRVE_ALM_DEVICE_RESET
 The alarm device has been reset.

Comments None.

5.3 WFS_CMD_ALM_RESET

Description Sends a service reset to the Service Provider.

Input Param None.Output Param None.

Error Codes Only the generic error codes defined in [Ref. 1] can be generated by this command.

Events Only the generic events defined in [Ref. 1] can be generated by this command.

Comments This command is used by an application control program to cause a device to reset itself to a

known good condition.

5.4 WFS_CMD_ALM_SYNCHRONIZE_COMMAND

Description

This command is used to reduce response time of a command (e.g. for synchronization with display) as well as to synchronize actions of the different device classes. This command is intended to be used only on hardware which is capable of synchronizing functionality within a single device class or with other device classes.

The list of execute commands which this command supports for synchronization is retrieved in the *lpdwSynchronizableCommands* parameter of the WFS INF ALM CAPABILITIES.

This command is optional, i.e, any other command can be called without having to call it in advance. Any preparation that occurs by calling this command will not affect any other subsequent command. However, any subsequent execute command other than the one that was specified in the dwCommand input parameter will execute normally and may invalidate the pending synchronization. In this case the application should call the

WFS_CMD_ALM_SYNCHRONIZE_COMMAND again in order to start a synchronization.

Input Param

LPWFSALMSYNCHRONIZECOMMAND lpSynchronizeCommand;

dwCommana

The command ID of the command to be synchronized and executed next.

lpCmdData

Pointer to data or a data structure that represents the parameter that is normally associated with the command that is specified in *dwCommand*. This parameter can be NULL if no command input parameter is needed or if this detail is not needed to synchronize for the command.

It will be device-dependent whether the synchronization is effective or not in the case where the application synchronizes for a command with this command specifying a parameter but subsequently executes the synchronized command with a different parameter. This case should not result in an error; however, the preparation effect could be different from what the application expects. The application should, therefore, make sure to use the same parameter between *lpCmdData* of this command and the subsequent corresponding execute command.

Output Param

None

Error Codes

In addition to the generic error codes defined in [Ref. 1], the following error codes can be generated by this command:

Value	Meaning
WFS_ERR_ALM_COMMANDUNSUPP	The command specified in the dwCommand
	field is not supported by the Service
	Provider.
WFS_ERR_ALM_SYNCHRONIZEUNSUPP	The preparation for the command specified
	in the dwCommand with the parameter
	specified in the <i>lpCmdData</i> is not supported
	by the Service Provider.

Events

Only the generic events defined in [Ref. 1] can be generated by this command.

Comments

For sample flows of this synchronization see the [Ref 1] Appendix C.

6. Events

6.1 WFS_SRVE_ALM_DEVICE_SET

Description The Alarm has been set (triggered) by an external event or a programmatic request to set (trigger)

the Alarm

Event Param None. **Comments** None.

6.2 WFS_SRVE_ALM_DEVICE_RESET

Description The Alarm has been manually or programmatically reset.

Event Param None. **Comments** None.

7. C - Header file

```
/****************************
* xfsalm.h
               XFS - Alarm (ALM) definitions
                 Version 3.30 (March 19 2015)
******************************
#ifndef __INC_XFSALM__H
#define __INC_XFSALM__H
#ifdef _cplu
extern "C" {
         cplusplus
#endif
#include <xfsapi.h>
/* be aware of alignment */
#pragma pack (push, 1)
/* values of WFSALMCAPS.wClass */
           WFS_SERVICE_CLASS_ALM
WFS_SERVICE_CLASS_VERSION_ALM
#define
                                                      (11)
                                                    0x1E03 /*Version 3.30 */
#define
           WFS_SERVICE_CLASS_NAME_ALM
                                                    "ALM"
#define
                                                     (WFS SERVICE CLASS ALM * 100)
#define
           ALM SERVICE OFFSET
/* ALM Info Commands */
                                                 (ALM_SERVICE_OFFSET + 1)
(ALM_SERVICE_OFFSET + 2)
           WFS INF ALM STATUS
#define
           WFS_INF_ALM_STATUS
WFS_INF_ALM_CAPABILITIES
#define
/* ALM Execute Commands */
           WFS CMD ALM SET ALARM
                                                     (ALM SERVICE OFFSET + 1)
#define
#define WFS_CMD_ALM_SET_ALARM (ALM_SERVICE_OFFSET + 1)
#define WFS_CMD_ALM_RESET_ALARM (ALM_SERVICE_OFFSET + 2)
#define WFS_CMD_ALM_RESET (ALM_SERVICE_OFFSET + 3)
#define WFS_CMD_ALM_SYNCHRONIZE_COMMAND (ALM_SERVICE_OFFSET + 4)
/* ALM Messages */
                                                   (ALM_SERVICE_OFFSET + 1)
#define
           WFS SRVE ALM DEVICE SET
            WFS SRVE ALM DEVICE RESET
                                                    (ALM SERVICE OFFSET + 2)
#define
/* values of WFSALMSTATUS.fwDevice */
           WFS ALM DEVONLINE
                                                    WFS STAT DEVONLINE
           WFS_ALM_DEVOFFLINE
#define
                                                    WFS_STAT_DEVOFFLINE
#define WFS_ALM_DEVPOWEROFF
#define WFS_ALM_DEVNODEVICE
#define WFS_ALM_DEVHWERROR
                                                    WFS_STAT_DEVPOWEROFF
WFS_STAT_DEVNODEVICE
                                                    WFS STAT DEVHWERROR
#define
                                                    WFS STAT DEVUSERERROR
           WFS ALM DEVUSERERROR
           WFS_ALM_DEVBUSY WFS_STAT_DEVBUSY
WFS_ALM_DEVFRAUDATTEMPT WFS_ALM_DEVPOTENTIALFRAUD WFS_STAT_DEVPOTENTIALFRAUD
#define
#define
#define
/* values of WFSALMSTATUS.wAntiFraudModule */
         WFS_ALM_AFMNOTSUPP
WFS_ALM_AFMOK
WFS_ALM_AFMINOP
#define
                                                      (0)
#define
                                                      (1)
#define
                                                      (2)
           WFS ALM AFMDEVICEDETECTED
#define
                                                     (3)
           WFS ALM AFMUNKNOWN
                                                      (4)
/* XFS ALM Errors */
```

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```
/* ALM Info Command Structures */
typedef struct _wfs_alm_status
  WORD
                  fwDevice;
  BOOL
                 bAlarmSet;
  LPSTR
                  lpszExtra;
  WORD
                  wAntiFraudModule;
} WFSALMSTATUS, *LPWFSALMSTATUS;
typedef struct _wfs_alm_caps
  WORD
                  wClass;
  BOOL
                  bProgrammaticallyDeactivate;
  LPSTR
                  lpszExtra;
  BOOL
                  bAntiFraudModule;
  LPDWORD
                  lpdwSynchronizableCommands;
} WFSALMCAPS, *LPWFSALMCAPS;
typedef struct _wfs_alm_synchronize_command
  DWORD
                  dwCommand;
  LPVOID
                  lpCmdData;
} WFSALMSYNCHRONIZECOMMAND, *LPWFSALMSYNCHRONIZECOMMAND;
/* restore alignment */
#pragma pack(pop)
#endif
     /* __INC_XFSALM__H */
#endif
```